

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-06-2017

Name of Fund : LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	9.40% IDBI BANK BS 25-03-2026	OLDB	3.01	31/12/2014	CRISIL	AA	A+	05/05/2017	
B.	<u>As on Date</u>								
	9.24% STERLITE BS 06-12-2022	ECOS	4.99	04/06/2015	CRISIL	AA+	AA	28/01/2016	
	9.40% IDBI BANK BS 25-03-2026	OLDB	3.01	31/12/2014	CRISIL	AA	A+	05/05/2017	
	9.55% HINDALCO IND LTD DB 25-04-2022	ECOS	0.99	31/12/2014	CRISIL	AA	AA-	03/08/2015	
	9.55% HINDALCO IND LTD DB 27-06-2022	ECOS	29.22	04/06/2015	CRISIL	AA	AA-	03/08/2015	
	9.60% HINDALCO IND LTD BS 02-08-2022	ECOS	7.50	04/06/2015	CRISIL	AA	AA-	03/08/2015	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 20-07-2017

Signature :

Full Name and Designation :

Authorised Signatory

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4. Category of Investment (COI) shall be as per Guidelines issued by the Authority

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-06-2017

Name of Fund : PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 20-07-2017

Signature :

Full Name and Designation :

Authorised Signatory

Note:

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4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-06-2017

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	9.05% BANK OF BARODA BS 27-08-2020	EUPD	4.91	31/12/2014	CRISIL	AAA	AA+	28/03/2016	
	9.40% STERLITE DB 25-10-2022	ECOS	4.95	31/12/2014	CRISIL	AA+	AA	28/01/2016	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature :

Date : 20-07-2017

Full Name and Designation :

Authorised Signatory

Note:

1. Provide details of Down Graded Investments during the Quarter.
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FORM 2 PART A FOOTNOTE